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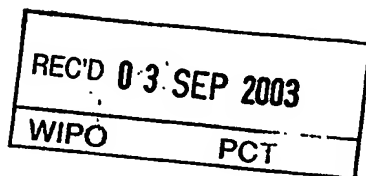


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## Request for grant of a patent

05SEP02 E746140-1 D02846  
P01/7700 0.00-0220644.9

1. Your Reference **NSP/CLD/W657**
2. Application number **0220644.9** **1-5 SEP 2002**
3. Full name, address and postcode of the or each Applicant  
Country/state of incorporation (if applicable)  
**Advanced Pain Management Holdings PLC**  
**The Saturn Centre**  
**Challenge Way**  
**Blackburn**  
**Lancashire**  
**BB1 5QB** **8427672001**  
**Incorporated in: England & Wales**
4. Title of the invention **IMPROVEMENTS IN AND RELATING TO IONTOPHORESIS**
5. Name of agent **APPLEYARD LEES**  
Address for service in the UK to which all correspondence should be sent  
**15 CLARE ROAD**  
**HALIFAX**  
**HX1 2HY**  
Patents ADP number **190001**
6. Priority claimed to: Country **GB** Application number **0216567.8** Date of filing **17 July 2002**
7. Divisional status claimed from: Number of parent application Date of filing
8. Is a statement of inventorship and of right to grant a patent required in support of this application? **YES**

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 12

Claim(s) 3

Abstract 1 *but*

Drawing(s) 4 *++*

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Priority documents

Translation of priority documents

Statement of inventorship and right to grant a patent (PF 7/77)

Request for a preliminary examination and search (PF 9/77) 1

Request for substantive examination (PF 10/77)

Any other documents (please specify)

11.

We request the grant of a patent on the basis of this application.  
Signature Date

APPLEYARD LEES

04 September 2002

*Appleyard Lees*

12. Contact

Neil Parkinson- 0161 835 9655

# IMPROVEMENTS IN AND RELATING TO IONTOPHORESIS

## Field of the Invention

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This invention relates to apparatus and methods suitable for iontophoresis.

## Background to the Invention

10

Many processes exist for the safe delivery of drugs or medications to patients. For instance, drugs can be taken in the form of pills, or injected into the body.

15 Alternatively, and more conveniently, patches placed against the skin can be used to deliver drugs by diffusion through the skin e.g. nicotine patches are popular with people attempting to stop smoking.

20 Iontophoresis is a process which allows for enhanced transdermal drug delivery by use of an applied current through the skin. The application of an electric current causes the migration of drugs or medications, in their ionic form, into the tissues, the migration being  
25 proportional to the electrical charge applied through the iontophoretic system.

Figure 1 shows a schematic diagram of a typical apparatus  
10 for providing iontophoresis. The apparatus comprises a  
30 current source connected to at least two electrodes 32, 34. The electrodes may be incorporated within a single unit 30, commonly called a transdermal patch.

Typically, one of the electrodes will contain an ionic medication ( $D^+ A^-$ ), and the other an electrolyte ( $H^+ A^-$ ). In use, the electrodes 32, 34 are positioned on the skin 200 of a patient. When a voltage is applied to the electrodes, a circuit is formed between the two electrodes via the body of the patient. The resulting current flowing through the skin 200 of the patient drives the ionic medication into the skin 200 and the tissue 210 to be absorbed by the patients body.

10

If the electrodes are located within a single unit 30, such as a transdermal patch, then application of both electrodes simultaneously to the skin of the patient can easily be achieved. Further, the electrodes can be located within the unit 30 such that they will be a predetermined distance apart when located on the skin.

The article by Mark R. Prausnitz, "The effects of electric current applied to the skin: A review for transdermal drug delivery", Advanced Drug Delivery Reviews 18 (1996) 395-425, provides an overview of the effects of electrical current applied to skin. The article describes how, at high voltages, the resistivity of the skin may change rapidly. Electrical burns can result if the electric current flowing through tissues or bones is too high. Burns are believed to be due to the highly localised heating by large current densities at sites of low electrical resistance.

In order to prevent such electrical burns, iontophoresis devices utilise a current source 20 to provide a continuous predetermined level of current (e.g. 2mA).

This typically corresponds to an applied voltage of around 2 Volts, and is understood to rarely exceed 10 Volts.

The article by Okabe, Yamaguchi and Kawai, "Iontopheretic  
5 Transdermal Administration of the Beta-Blocker  
Metoprolol", Journal of Controlled Release, 4 (1986) 79-  
85, describes the application of iontophoresis using a  
rectangular pulse of 12 Volts at 50kHz. Despite using a  
relatively high voltage for iontophoresis, the treatment  
10 was reported to occur without the development of skin  
irritation, burning or redness.

It is an aim of embodiments of the present invention to  
overcome, or at least alleviate, one or more problems of  
15 the prior art, whether referred to herein or otherwise.

#### Statements of the Invention

In a first aspect, the present invention provides an  
20 apparatus for providing iontophoresis to a patients body  
by at least two iontophoresis electrodes at respective  
locations on the patients body, the apparatus comprising a  
pulse generating unit connectable to the electrodes, the  
pulse generating unit being arranged to provide a series  
25 of electrical pulses having a peak amplitude of at least  
50 Volts.

Preferably, the apparatus further comprises at least two  
iontophoresis electrodes arranged for connection to said  
30 generating unit, for supplying electrical pulses to  
respective locations on the patients body, at least one of  
said electrodes incorporating a medication in ionic form  
for application to the patients body.

Preferably, the width of said pulses lies within the range 1 to 30 $\mu$ s.

Preferably, the apparatus is arranged to deliver said  
5 pulses at a predetermined frequency lying within the range 100Hz to 250kHz.

Preferably, said pulses are substantially rectangular in shape.

10

Preferably, said series of pulses comprises a plurality of spiked pulses.

Preferably, said series of pulses comprises a plurality of  
15 bi-polar pulses having a positive voltage peak and a negative voltage peak, the transition time between the positive and the negative peak being at least 70% of the pulse width.

20 Preferably, said transition time is at least 95% of the pulse width.

Preferably, said pulses have a peak amplitude lying within the range 50 to 450 Volts.

25

In another aspect, the present invention provides an apparatus for applying an intermittent series of electrical pulses to the body of a patient substantially as described herein with reference to Figures 2 to 5.

30

In a further aspect, the present invention provides a method for providing iontophoresis to a patient by utilising at least two electrodes at respective locations

on the patients body, at least one of the electrodes incorporating an ionic medication, the method comprising applying a series of pulses, each pulse having a peak amplitude of at least 50 Volts to the electrodes, such  
5 that the medication is passed into the body of the patient.

In another aspect, the present invention provides a method for providing iontophoresis to a patient substantially as  
10 described herein with reference to Figures 2 to 5.

#### Brief Description of the Drawings

For a better understanding of the invention, and to show  
15 how embodiments of the same may be carried into effect, reference will now be made, by way of example, to the accompanying diagrammatic drawings in which:

Figure 1 is a schematic diagram of a known iontophoresis  
20 device in location upon the body of a patient;

Figure 2 illustrates an iontophoresis device in accordance with a preferred embodiment of the present invention;

Figure 3 illustrates a pulse shape in accordance with an embodiment of the present invention;

25 Figure 4 illustrates an alternative pulse shape in accordance with a further embodiment; and

Figure 5 is a schematic diagram of a circuit suitable for use as a voltage pulse source in the apparatus shown in Figure 2.



### Detailed Description of Preferred Embodiments

The currents, and subsequently the voltages, in traditional iontophoresis techniques are limited due to unwanted side effects such as pain, muscle stimulation or thermal burns. However, the present inventors have determined that such problems can be overcome by providing iontophoresis using a pulsed voltage waveform. This allows relatively high voltages to be utilised, without any associated burns or sensations. As the current into the body is non-linear with respect to voltage, this allows a proportionally greater current to be utilised, and subsequently a larger amount of medication to be delivered.

Pulsed voltage signals are utilised in other electrotherapeutic applications such as TSE (Transcutaneous Spinal Electroanalgesia). Appropriate selection of the voltage pulses utilised in delivering iontophoresis may also simultaneously result in the analgesic effects associated with TSE if the iontophoresis pads are located over the spinal column.

Figure 2 illustrates an iontophoresis device 100 in accordance with a preferred embodiment of the present invention. The device is powered by a battery (not shown). Identical reference numerals have been utilised to represent those components that are the same as in Figure 1. As can be seen, the apparatus is essentially the same as the apparatus shown in Figure 1, apart from the fact that instead of a DC current source 20, a pulsed voltage source 120 is utilised to provide a series of voltage pulses to the electrodes 32, 34.

The pulses should have relatively fast rise and fall times so as to minimise the sensations experienced by a patient.

Figure 3 illustrates a pulse stream in accordance with an embodiment of the present invention. Rectangular pulses 130, 132, 134 of width  $W$  and amplitude  $V_p$  are delivered at regular predetermined intervals  $T$ . The pulse frequency is thus  $1/T$  Hz (when  $T$  is expressed in seconds). Preferably, the voltage amplitude  $V_p$  is greater than 50 Volts, more preferably within the range 50-450 Volts, and even more preferably within the range 100-200 Volts.

Each pulse is preferably of width between 1 and 30 $\mu$ s, and more preferably within the range 4-20 $\mu$ s. The pulse frequency is preferably within the range 100Hz to 250kHz, and more preferably within the range 1kHz to 50kHz.

Whilst in the above embodiment, rectangular shaped pulses have been illustrated, spiked pulses (i.e. pulses with very little signal duration at maximum amplitude) are considered to be particularly effective. Such pulses preferably also have relatively fast rise and fall times. This results in the pulse width  $W$  being relatively short compared to the length of the pulse cycle (e.g.  $W$  is less than 20% of  $T$ , or more preferably  $W$  is less than 10% of  $T$ , or even less than 5% of  $T$ ). Spiked pulses are believed to be particularly efficient, as they allow relatively high voltages to be utilised for a given pulse power compared with a rectangular shaped pulse.

30

Surprisingly, bipolar pulses (i.e. pulses that have both a positive and a negative voltage peak) with a relatively fast transition from zero volts to a first peak in the

pulse, and a relatively fast transition from the final peak in the pulse back to zero volts, but with a relatively gradual transition from the first peak of the pulse to the second peak of the pulse are considered to be  
 5 extremely effective.

The efficacy of the treatment also appears to be related to the pulse width, with wider pulses providing more effective treatment, presumably due to the increase in the  
 10 total electrical charge (and hence a greater drug dose) applied to the patient. By utilising bipolar pulses as described above, the pulse width can be increased dramatically compared with the pulse width of a rectangular pulse. For instance, typical rectangular  
 15 pulses are limited to a width of about  $4\mu\text{s}$ , as longer rectangular pulses lead to a tingling feeling within the patient. However, using bipolar pulses, longer pulse widths can be comfortably utilised on a patient e.g. pulses of widths of up to  $30\mu\text{s}$ , although preferably within  
 20 the range  $10\text{-}20\mu\text{s}$ .

Figure 4 illustrates two such identical bipolar pulse shapes 136, with in this instance the first peak in the pulses being the positive voltage peak. The pulse cycle  
 25 is of length  $T_1$ , with the overall pulse width being  $W$ . The peak to peak voltage is shown as  $V_{pp}$ , with in this instance both the positive and the negative peaks being of similar amplitude. It will be seen that the pulse can be characterised by three time periods ( $W_1$ ,  $W_2$ ,  $W_3$ ), where  $W =$   
 30  $W_1 + W_2 + W_3$ . The initial transition from zero volts to the first peak voltage (in this case, the rise time of pulse) is of duration  $W_1$ , the transition time from the first pulse peak to the second pulse peak is of duration

$W_2$ , and the transition from the second pulse peak back to zero volts is of duration  $W_3$ .

It is desirable that  $W_1$  and  $W_2$  are both relatively quick compared with the overall pulse width  $W$  i.e.  $W_1 + W_3 \leq 0.3W$ , and more preferably  $W_1 + W_3 \leq 0.95W$ . In this example;  $W_1 = W_3$ . Preferably, the voltage constantly changes during the transition time  $W_2$ , and preferably the change is at a constant rate.

10

Figure 5 illustrates a circuit diagram (utilising standard nomenclature) for a pulsed voltage source 120 in accordance with a preferred embodiment of the present invention. Outputs 121, 122 can each be connected to a  
 15 respective electrode (or, if more than two electrodes are utilised, respective electrodes). This circuit is suitable for generating both a square wave pulse and a differentiated pulse, depending upon the position of switch S2. If switch S2 is connected to output 121 via  
 20 capacitor C8 (i.e. in the down position, in the sense the switch is illustrated in Figure 5), then the output will be a differentiated waveform. If the switch S2 is in the other ("up") position, then the output will be a rectangular waveform.

25

The circuit is preferably powered by a 9 Volt battery, in this instance a PP3 battery 123. The circuit effectively has two separate functions generated by a dual timer micro circuit SE556, 124. The first timer provided by this  
 30 micro circuit operates to give a fixed frequency and variable time ratio which drives Q1 and T1 to produce about 150V across capacitor C3, the circuit operating as a forward converter. This high voltage is switched through

to the output to get a fast rising pulse. A dummy load could be provided across the output to produce the desired fast fall time of the pulse however, this would waste output power. In order to avoid this wastage of power, whilst still providing a fast rise and fall time, a similar concept to applying a dummy load has been adopted with an active switch ON by Q2 and similar switch OFF when Q3 turns ON.

- 10 The duration of the output pulse and the pulse repetition frequency are set by components R5, R6 and C5; no test adjustment is needed, and the cycle is independent of the battery voltage. With component tolerances at  $\pm 1\%$ , the pulse width and pulse repetition frequency will be within  $\pm 3\%$  of the desired value.

Table 1 illustrates appropriate values for R5, C5 and R6 for different pulse repetition frequencies (PRF) for a pulse width of  $4\mu\text{s}$ .

20

Table 1								
R5 ( $\Omega$ )	4k9					1k8		
C5	1nF					2nf		
R6 ( $\Omega$ )	470K	220K	150K	120K	82K	470K	340K	220K
Pulse Width ( $\mu\text{s}$ )	4	4	4	4	4	4	4	4
PRF ( $\mu\text{s}$ )	330	153	110	87	61	620	301	460
Freq. (kHz)	3.03	6.54	9.09	11.5	16.4	1.61	3.32	2.17

Appropriate values of the other components are:

R1 = 180R, R2 = 2k2, R3 = 22k, R4 = 3k3, R7 = 15k,

25 For R5 & R6 see Table 1.

C1 = 100 $\mu$ F, 10V; C2 & C6 = 10nF; C3 = 1 $\mu$ F, 250V; C4 = 680pF, C7 = 4.7nf; C8 = 1nF, 250V

For C5 see Table 1.

LED 1 (Red) HLMP 1700; LED 2 (Green) HLMP 1540

5 D1, D2, D4, D5 = BAV 20; D3 = HER103,

Q1 = 16N051, Q2 = Zvn120A. Q3 = MPSA92.

ZD1 = BZYX99C5V6

T1 Core, 2xEFD20 +Bobbin +Clips. Prim, 28T, Sec, 650T.

Vr1/S1 = T18A14.103.A.202, (piher).

10 S2 = 25336NA (APEM)

It will be appreciated that the above description is provided by way of example only, and that various other waveforms, and apparatus suitable for producing such  
15 waveforms, would be understood as falling within the scope of the present invention.

Throughout this document, the term patient is not limited to humans, but can be understood as relating to any  
20 vertebrate species including mammals. This can include animals such as cats, dogs and horses.

Whilst the preferred embodiment has been described as being powered by a battery, it will be appreciated that  
25 any power source could be utilised to power the device, including a power supply comprising a transformer, and suitable for connection to a mains electricity supply.

The reader's attention is directed to all papers and  
30 documents which are filed concurrently with or previous to this specification in connection with this application and which are open to public inspection with this

specification, and the contents of all such papers and documents are incorporated herein by reference.

5 All of the features disclosed in this specification (including any accompanying claims, abstract and drawings), and/or all of the steps of any method or process so disclosed, may be combined in any combination, except combinations where at least some of such features and/or steps are mutually exclusive.

10

Each feature disclosed in this specification (including any accompanying claims, abstract and drawings), may be replaced by alternative features serving the same, equivalent or similar purpose, unless expressly stated  
15 otherwise. Thus, unless expressly stated otherwise, each feature disclosed is one example only of a generic series of equivalent or similar features.

The invention is not restricted to the details of the  
20 foregoing embodiment(s). The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any accompanying claims, abstract and drawings), or to any novel one, or any novel combination, of the steps of any  
25 method or process so disclosed.

**Claims**

1. An apparatus for providing iontophoresis to a patients  
5 body by at least two iontophoresis electrodes at  
respective locations on the patients body, the  
apparatus comprising a pulse generating unit  
connectable to the electrodes, the pulse generating  
unit being arranged to provide a series of electrical  
10 pulses having a peak amplitude of at least 50 Volts.
2. An apparatus as claimed in claim 1, further comprising  
at least two iontophoresis electrodes arranged for  
connection to said generating unit, for supplying  
15 electrical pulses to respective locations on the  
patients body, at least one of said electrodes  
incorporating a medication in ionic form for  
application to the patients body.
- 20 3. An apparatus as claimed in claim 1 or claim 2, wherein  
the width of said pulses lies within the range 1 to  
30 $\mu$ s.
4. An apparatus as claimed in any one of the above  
25 claims, the apparatus being arranged to deliver said  
pulses at a predetermined frequency lying within the  
range 100Hz to 250kHz.
5. An apparatus as claimed in any one of the above  
30 claims, wherein said pulses are substantially  
rectangular in shape.



6. An apparatus as claimed in any one of the above claims, wherein said series of pulses comprises a plurality of spiked pulses.
- 5 7. An apparatus as claimed in any one of the above claims, wherein said series of pulses comprises a plurality of bi-polar pulses having a positive voltage peak and a negative voltage peak, the transition time between the positive and the negative peak being at  
10 least 70% of the pulse width.
8. An apparatus as claimed in claim 7, wherein said transition time is at least 95% of the pulse width.
- 15 9. An apparatus as claimed in any one of the above claims, wherein said pulses have a peak amplitude lying within the range 50 to 450 Volts.
- 20 10. An apparatus for applying an intermittent series of electrical pulses to the body of a patient substantially as described herein with reference to Figures 2 to 5.
- 25 11. A method for providing iontophoresis to a patient by utilising at least two electrodes at respective locations on the patients body, at least one of the electrodes incorporating an ionic medication, the method comprising applying a series of pulses, each pulse having a peak amplitude of at least 50 Volts to  
30 the electrodes, such that the medication is passed into the body of the patient.

12. A method for providing iontophoresis to a patient substantially as described herein with reference to Figures 2 to 5.

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## ABSTRACT

## IMPROVEMENTS IN AND RELATING TO IONTOPHORESIS

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An apparatus for providing iontophoresis to a patients body by at least two iontophoresis electrodes at respective locations on the patients body. The apparatus  
10 comprises a pulse generating unit connectable to the electrodes. The pulse generating unit is arranged to provide a series of electrical pulses having a peak amplitude of at least 50 Volts.

15

[Figure 2]

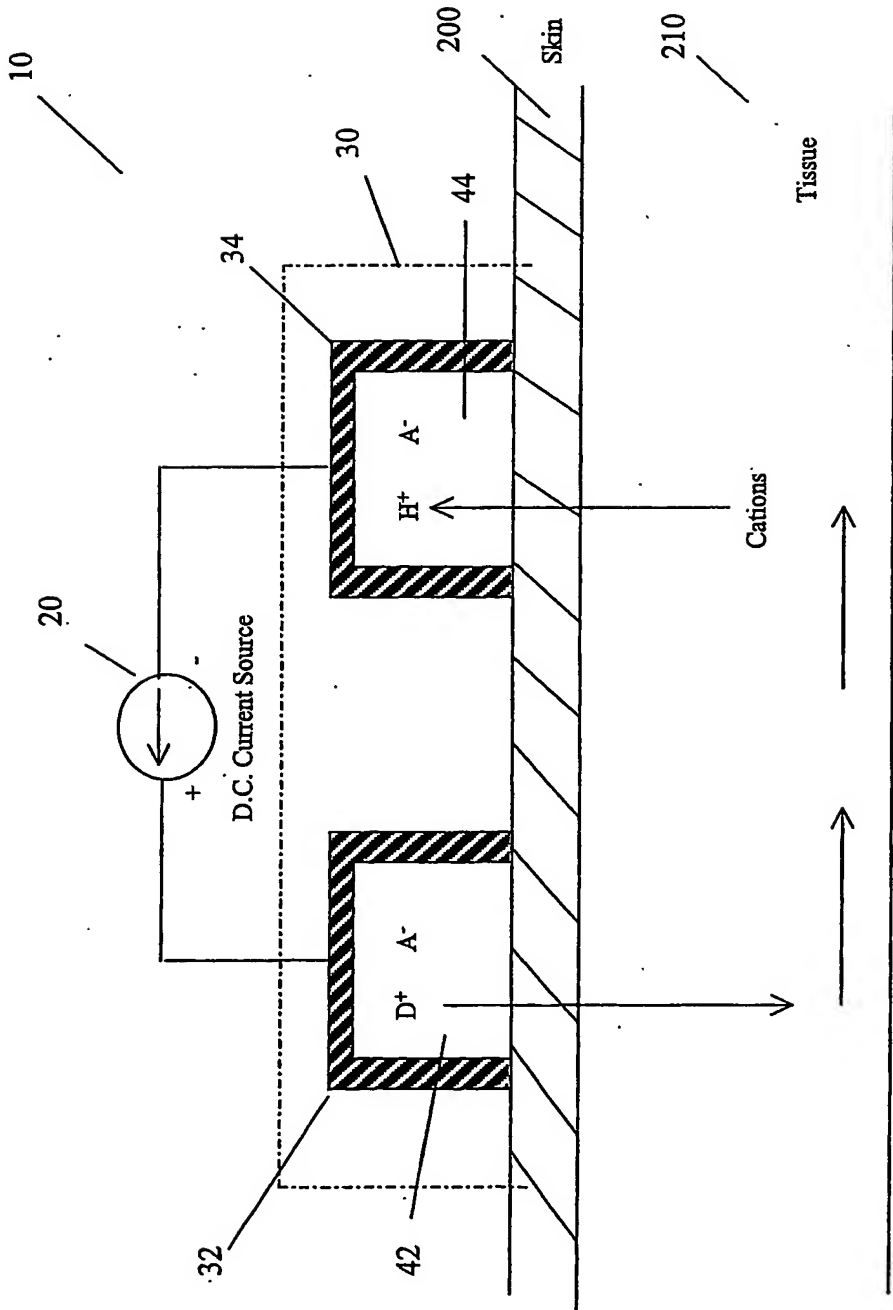


FIGURE 1

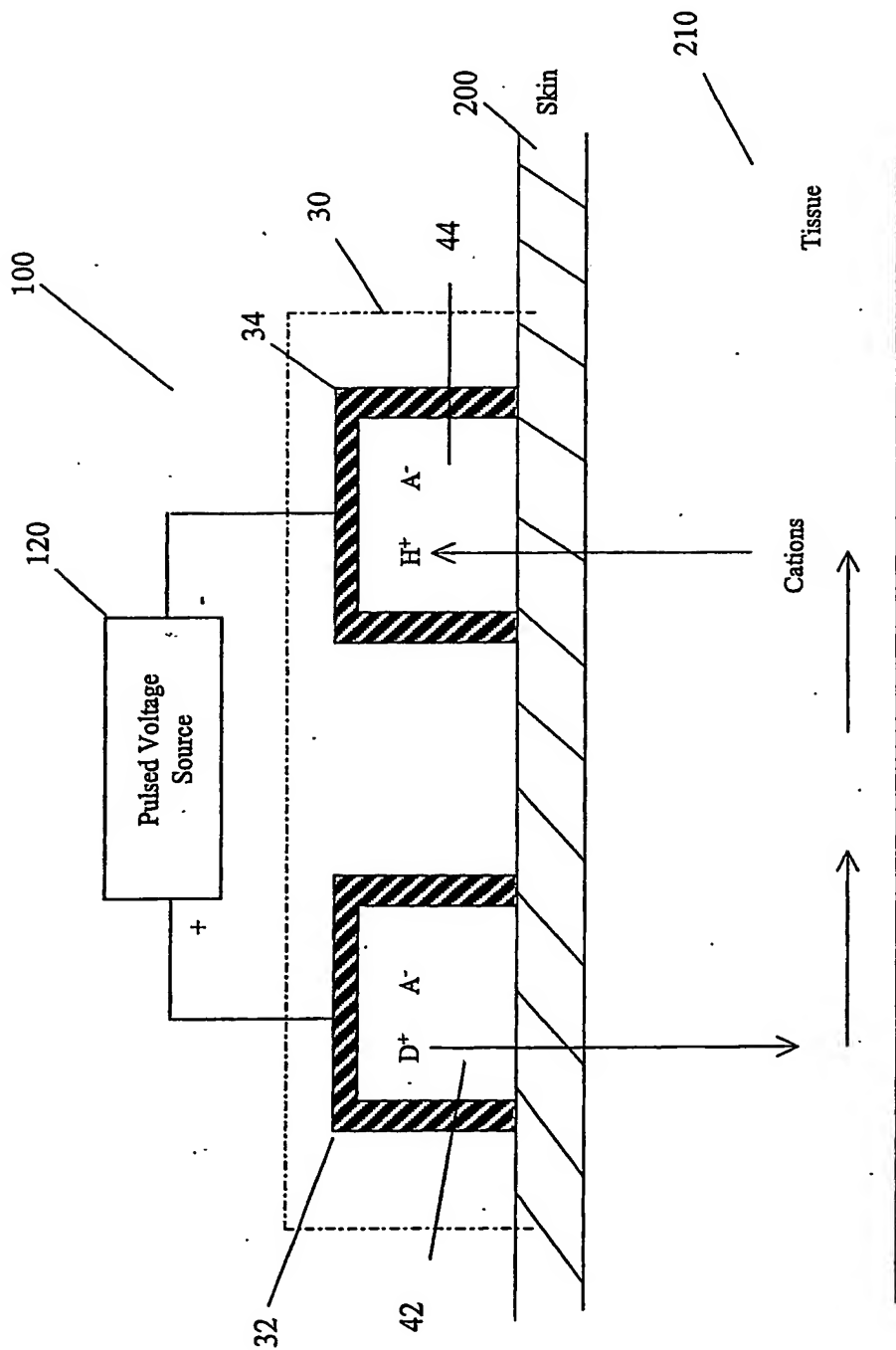


FIGURE 2

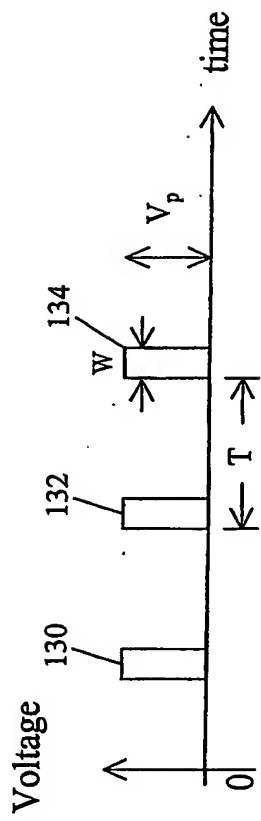


FIGURE 3

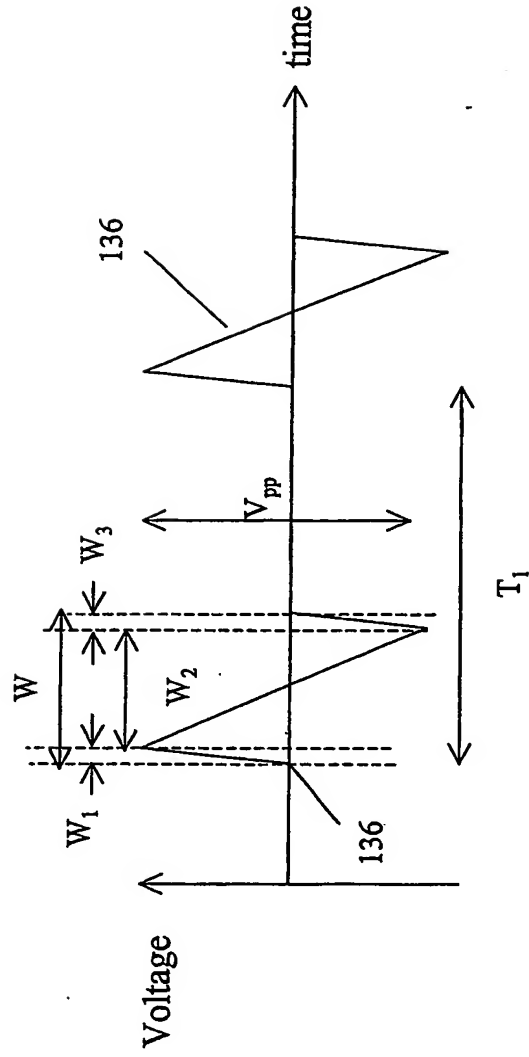


FIGURE 4

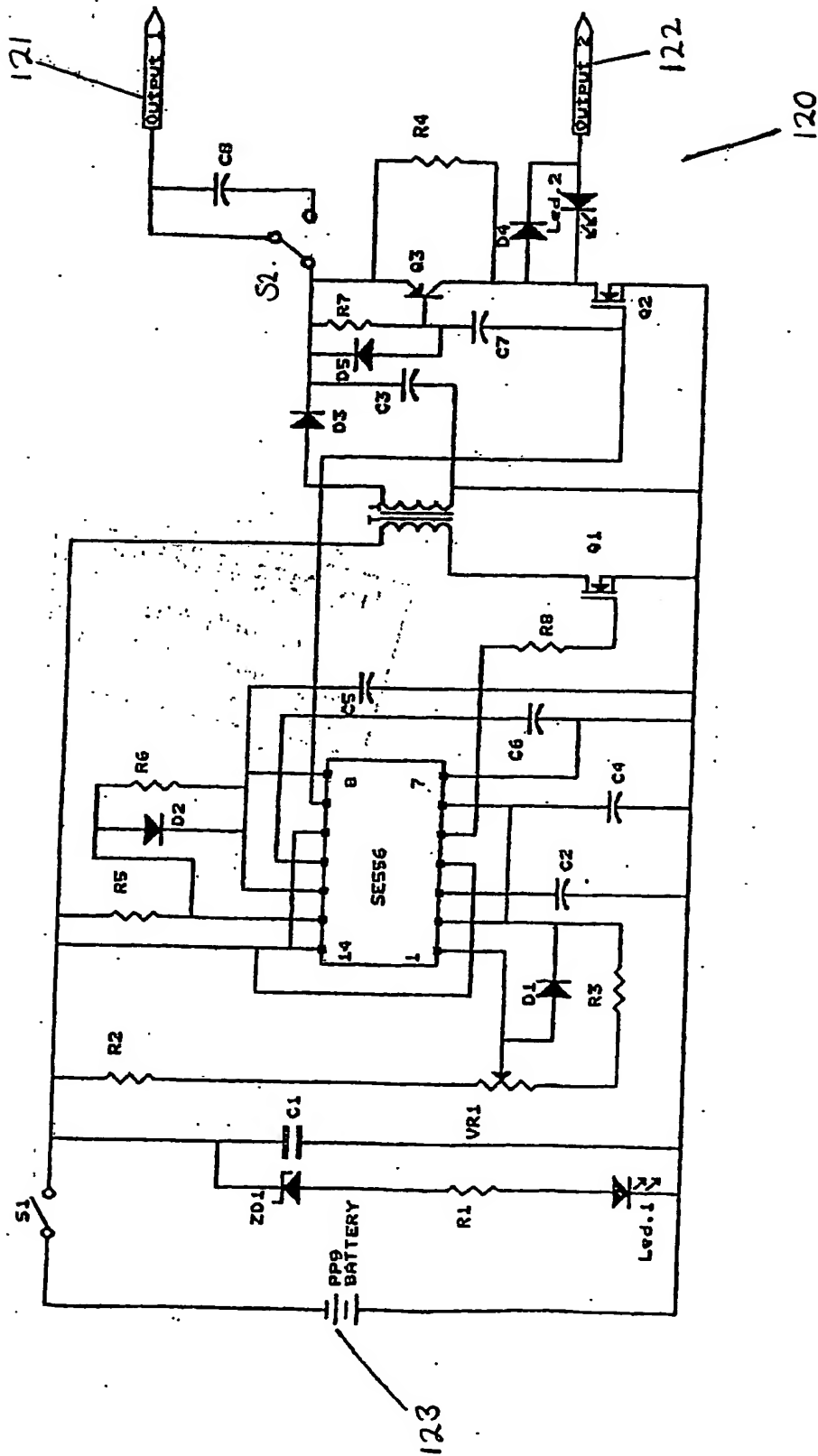


FIGURE 5

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